



**Form A: ANNEX I  
Due Diligence Questionnaire**

Tennessee Entertainment Commission  
(615) 741-FILM (3456)  
[tn.entertainment@tn.gov](mailto:tn.entertainment@tn.gov)

Full Name (First, Middle, & Last)		Position and Title
Residence Address (Street/P.O. Box/City, State and Zip Code)		
Date of Birth	Telephone Number	Email Address
Check "Yes" or "No" for each of the following. Provide details on every "Yes" response on a separate sheet of paper. (No use of commas, "&" and any special characters in the file name upon submission)		
YES	NO	
<input type="checkbox"/>	<input type="checkbox"/>	<b>Convictions:</b> Have you ever been convicted of, or entered a plea of no contest in, any criminal proceeding, or are you currently a defendant in any criminal proceeding, other than a minor traffic offense?
<input type="checkbox"/>	<input type="checkbox"/>	<b>Taxes:</b> Do you currently owe past due taxes to any government entity, or have you ever been served a complaint, lien, judgment, or other notice filed with any public body regarding the payment of any tax required under federal state or local law?
<input type="checkbox"/>	<input type="checkbox"/>	<b>Corporate Bankruptcy:</b> Do you currently have, or have you ever had, an ownership interest in any business, or have you served as an officer or director of any business, which has ever been declared bankrupt by a court, or been the subject of a voluntary or involuntary filing of any type of bankruptcy or insolvency proceeding?
<input type="checkbox"/>	<input type="checkbox"/>	<b>Litigation:</b> Do you presently, or have you ever been, a respondent/defendant in any civil or criminal litigation involving allegations of embezzlement, theft, forgery, bribery, falsification or destruction of records, dishonesty, deception, fraud, misrepresentation, civil conspiracy, breach of contract, unethical or unlawful business practices, false claims, securities law violations, or any other claim that may be relevant to determine business integrity?

Confirmation of Accuracy
To the best of my knowledge and belief, the information contained in this questionnaire is true and correct, as evidenced by my signature below. I further certify that the business entity is in good standing under the laws of the jurisdiction (s) in which the entity is organized or authorized to conduct business.

Signature \_\_\_\_\_ Date \_\_\_\_\_

SEND COMPLETED ANNEX I TO:  
Tennessee Entertainment Commission  
[tn.entertainment@tn.gov](mailto:tn.entertainment@tn.gov)